## Amendments to the Claims:

This listing of claims will replace all prior versions, and listings, of claims in the application:

## **Listing of Claims:**

1

2

3

4

5

6

7

8

9

10

11

12

1

2

1. (currently amended) A self-cleaning colloidal slurry composition for superfinishing a surface of a substrate, the self-cleaning colloidal slurry composition comprising:

a carrying fluid;

colloidal particles;

metal etchant for etching the substrate;

a surfactant adsorbed and/or precipitated onto a surface of at least one of the substrate and the colloidal particles, the surfactant having a hydrophobic section that forms a steric hindrance barrier between the substrate and the colloidal particles.

wherein the substrate is selected from a group consisting of a glass disk substrate, a ceramic disk substrate, and a glass-ceramic disk substrate for use in a data storage device.

- 2. (canceled).
- 3. (currently amended) The self-cleaning colloidal slurry composition as recited in claim 2 claim 1, wherein the substrate is a silicate-based glass disk substrate.

Docket No.: ROC920010111US1

Serial No.: 09/976,167 2

4. (original) The self-cleaning colloidal slurry composition as recited in claim 1,
wherein the colloidal particles include colloidal silica particles, the surfactant is a
nonionic surfactant and/or cationic, and the self-cleaning colloidal slurry composition has
a pH of approximately 0 to 4.

5. (original) The self-cleaning colloidal slurry composition as recited in claim 4,

5. (original) The self-cleaning colloidal slurry composition as recited in claim 4, wherein the self-cleaning colloidal slurry composition has a pH of approximately 0.8 to 3.0.

6. (original) The self-cleaning colloidal slurry composition as recited in claim 5, wherein the self-cleaning colloidal slurry composition has a pH of approximately 1.0 to 2.0.

7. (original) The self-cleaning colloidal slurry composition as recited in claim 1, wherein the colloidal particles include colloidal silica particles, the surfactant is a cationic quaternary amine surfactant, and the self-cleaning colloidal slurry composition has a pH of approximately 7 to 12.

8. (original) The self-cleaning colloidal slurry composition as recited in claim 1, wherein the colloidal particles include colloidal alumina or colloidal silica coated with alumina, and the self-cleaning colloidal slurry composition has a pH of approximately 3.5 to 10.5.

9. (original) The self-cleaning colloidal slurry composition as recited in claim 4, wherein the colloidal silica particles have a nominal size of approximately 2 - 200 nm.

Docket No.: ROC920010111US1

Serial No.: 09/976,167 3

	10. (original) The self-cleaning colloidal slurry composition as recited in claim 6,
2	wherein the colloidal silica particles include colloidal silica spheres having a nominal size
3	of approximately 7 nm.
l	11. (currently amended) The self-cleaning colloidal slurry composition as recited
2	in claim 3, wherein the metal etchant is a metal etchant selected from a group consisting
3	of Ce, Zr, Ti, Fe, Sn, Al, Cr, Ni, Mn and Zn ions, and combinations thereof, and wherein
1	the metal etchant is present in solution and/or as a colloid and/or as an ion on the
5	colloidal particles.
l	12. (currently amended) The self-cleaning colloidal slurry composition as recited
2	in claim 11, wherein the metal etchant is Ce ions.
l	13. (original) The self-cleaning colloidal slurry composition as recited in claim 1,
2	wherein the surfactant is a nonionic and/or cationic surfactant selected from a group
3	consisting of oxygen containing compounds and nitrogen containing compounds, and
1	combinations thereof.
1	14. (original) The self-cleaning colloidal slurry composition as recited in claim 13.
2	wherein the nonionic surfactant is an oxygen containing compound with moieties of
3	ethylene oxide and/or polyvinyl alcohol.
1	15. (original) The self-cleaning colloidal slurry composition as recited in claim 13.
2	wherein the nonionic and/or cationic surfactant is a nitrogen containing compound
3	selected from a group consisting of alkaloids and amines, and combinations thereof.

4

Docket No.: ROC920010111US1 Serial No.: 09/976,167

1	16. (original) The self-cleaning colloidal slurry composition as recited in claim 13,
2	wherein the nonionic and/or cationic surfactant is a polydentate adsorption surfactant.
1	17. (original) The self-cleaning colloidal slurry composition as recited in claim 1,
2	wherein the surfactant is a cationic surfactant.
1	18. (original) The self-cleaning colloidal slurry composition as recited in claim 1,
2	wherein the surfactant is selected from a group consisting of anionic surfactants and
3	quaternary amine surfactants.
1	35. (currently amended) A self-cleaning colloidal slurry composition for finishing
1	
2	a surface of a substrate, the self-cleaning colloidal slurry composition comprising:
3	a carrying fluid;
4	colloidal particles;
5	metal etchant for etching the substrate;
6	a surfactant adsorbed and/or precipitated onto a surface of at least one of the
7	substrate and the colloidal particles, the surfactant having a hydrophobic section that
8	forms a steric hindrance barrier between the substrate and the colloidal particle,
9	wherein the substrate is selected from a group consisting of a glass disk substrate,
10	a ceramic disk substrate, and a glass-ceramic disk substrate for use in a data storage
11	device, and
12	wherein the colloidal particles have a nominal size of approximately 70 - 200 nm
13	to provide a textured surface on the substrate.

Docket No.: ROC920010111US1

Serial No.:

09/976,167

36. (canceled).

2	wherein the surfactant is an ethylene oxide propylene oxide block copolymer.
1	41. (new) A self-cleaning colloidal slurry composition for superfinishing a surface
2	of a substrate, the self-cleaning colloidal slurry composition comprising:
3	a carrying fluid;
4	colloidal particles;
5	etchant for etching the substrate;
6	a surfactant precipitated onto a surface of at least one of the substrate and the
7	colloidal particles, the surfactant having a hydrophobic section that forms a steric
8	hindrance barrier between the substrate and the colloidal particles,
9	wherein the substrate is selected from a group consisting of a glass disk substrate,
10	a ceramic disk substrate, and a glass-ceramic disk substrate for use in a data storage
11	device.
1	42. (new) The self-cleaning colloidal slurry composition as recited in claim 41,
2	wherein the surfactant is sodium octyl sulfate.

40. (new) The self-cleaning colloidal slurry composition as recited in claim 14,

Docket No.: ROC920010111US1

Serial No.: 09/976,167

1